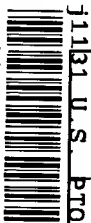


11/28/01



11/28/01 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
PF-289911/28/01 U.S. PTO
09/994853

11/28/01

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of:

Hiroyuki YOKOYAMA

corresponding to Japanese application No. 2000-361859, filed

28 November 2000,

entitled: MODE LOCKING SEMICONDUCTOR LASER SYSTEM INCLUDING
EXTERNAL CAVITY

Enclosed are:

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 33 pages of specification. |
| <input checked="" type="checkbox"/> | 12 sheets of formal drawings. |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor. |
| <input type="checkbox"/> | a copy of an executed declaration of the inventor from prior application Serial No. , filed . |
| <input type="checkbox"/> | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet. |
| <input type="checkbox"/> | Information Disclosure Statement with Form PTO-1449. |
| <input type="checkbox"/> | copies of the Information Disclosure Statement citations. |
| <input type="checkbox"/> | preliminary amendment. |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized. |
| <input type="checkbox"/> | applicant claims small entity status under 37 CFR 1.27. |
| <input type="checkbox"/> | a certified copy of the Priority Document. |
| <input checked="" type="checkbox"/> | other: Application Data Sheet, Abstract . |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)


of prior application No. , filed .

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466. |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709. |

UTILITY PATENT APPLICATION TRANSMITTAL LETTER
(continued)Docket No.
PF-2899**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 740	\$ 740
TOTAL CLAIMS	10 - 20 =	0	x\$ 18	0
INDEPENDENT CLAIMS	2 - 3 =	0	x\$ 84	0
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 280	
TOTAL				\$740
If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here.				SMALL ENTITY TOTAL \$

<input checked="" type="checkbox"/>	A check in the amount of \$780 to cover the filing fee is enclosed.
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over- payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
<input type="checkbox"/>	Charge the amount of \$ as filing fee.
<input checked="" type="checkbox"/>	Credit any overpayment.
<input checked="" type="checkbox"/>	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
<input type="checkbox"/>	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.


Benoît Castel
Registration No. 35,041
745 South 23rd Street
Arlington, VA 22202
Telephone 703/521-2297

November 28, 2001